

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

<b>Application Number</b>	10/771,788
<b>Filing Date</b>	February 4, 2004
<b>First Named Inventor</b>	Espiau, Frederick
<b>Group Art Unit</b>	2821
<b>Examiner Name</b>	Vu, David

Sheet 1 of 6

Attorney Docket No: 2447.001US2

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>4</sup>
W		"Amended Assignment and Bill of Sale", <u>Guthrie Exhibit 2148, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (January 12, 2007), 1 pg.	
↑		"Chapter 6.3 - Rectangular Waveguide Cavities in: Microwave Engineering, Pozar, D. M., Editor (John Wiley & Sons, Inc.)", <u>Guthrie Exhibit 2143, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , 313-318	
		"Collection of Correspondence", <u>Guthrie Exhibit 2163, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (2000), 23 pgs.	
		"CoorsTek Engineering Drawings", <u>Guthrie Exhibit 2142, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (August 10, 2000), 6 pgs.	
		CoorsTek Invoice No. 1016307, <u>Guthrie Exhibit 2140, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (October 12, 2000), 1 pg.	
		CoorsTek Invoice No. 1017845, <u>Guthrie Exhibit 2141, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (October 18, 2001), 1 pg.	
		"Decision - Motions - Bd. R. 125(a) (Paper 83)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (February 27, 2007), 51 pgs.	
		"Decision - On Rehearing - Bd. R. 125(c) (Paper 89)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (March 20, 2007), 13 pgs.	
		Decision - Rehearing - Bd. R. 127(d) (Paper 94)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (April 18, 2007), 5 pgs.	
		"Declaration of David Smoler", <u>Guthrie Exhibit 2136, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (December 5, 2005), 17 pgs.	
		"Declaration of Donald M. Wilson (Corrected)", <u>Espiau Exhibit 1106, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (December 12, 2005), 3 pgs.	
		"Declaration of Tim R. Russell", <u>Guthrie Exhibit 2145, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (February 1, 2006), 3 pgs.	
		"Draft of Charles Guthrie's Expense Report", <u>Guthrie Exhibit 2192, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (May 10, 2000), 2 pgs.	
		"E-Mails between C. Guthrie and C. Lee", <u>Guthrie Exhibit 2167, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (2000), 6 pgs.	
		"E-Mails Between T. Russell and T. Kearney", <u>Guthrie Exhibit 2187, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (2006), 7 pgs.	
✓		"Engineering Sketches (faxed to Coorsteck)", <u>Guthrie Exhibit 2137, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (July 20, 2000), 2 pgs.	
D		"Espiau Declaration of Janet Brant", <u>Espiau Exhibit 1118, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (May 25, 2007), 3 pgs.	

EXAMINER

David Vu

DATE CONSIDERED

1/6/08

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known	
		<b>Application Number</b>	10/771,788
		<b>Filing Date</b>	February 4, 2004
		<b>First Named Inventor</b>	Espiau, Frederick
		<b>Group Art Unit</b>	2821
		<b>Examiner Name</b>	Vu, David
Sheet 2 of 6		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
YJ		"Espiau Declaration of Matthew A. Argenti", <u>Espiau Exhibit 1121, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (May 28, 2007),3 pgs.	
✓		"Espiau Declaration of Michael J. Murphy", <u>Espiau Exhibit 1120, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (May 29, 2007),4 pgs.	
		"Espiau Declaration of Terry Kearney", <u>Espiau Exhibit 1122, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (May 28, 2007),6 pgs.	
		"Espiau Declaration of W. Benjamin Glenn", <u>Espiau Exhibit 1119, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (May 28, 2007),8 pgs.	
		"Espiau Miscellaneous Motion 6 (Request for Rehearing of Espiau's Request for Leave to Prove Derivation by Guthrie)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (March 22, 2007),11 pgs.	
		"Espiau Opposition 6", <u>Guthrie, et al. v. Espiau, et al. (Interference No. 105,393)</u> , (May 29, 2007),31 pgs.	
		"Guthrie Declaration of Anthony Cooper", <u>Guthrie Exhibit 2184, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 14, 2007),6 pgs.	
		"Guthrie Declaration of Dennis R. Nunes", <u>Guthrie Exhibit 2199, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , 3 pgs.	
		"Guthrie Declaration of Floyd Pothoven", <u>Guthrie Exhibit 2183, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 15, 2007),5 pgs.	
		"Guthrie Declaration of Joe Bennett", <u>Guthrie Exhibit 2186, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 14, 2007),5 pgs.	
		"Guthrie Declaration of Shelby Katz", <u>Guthrie Exhibit 2144, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (May 24, 2007),3 pgs.	
		"Guthrie Declaration of Vivek Gandhi", <u>Guthrie Exhibit 2164, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (May 18, 2007),3 pgs.	
		"Guthrie Fifth Declaration of Madhu S. Gupta, Ph.D.", <u>Guthrie Exhibit 2182, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 14, 2007),23 pgs.	
		"Guthrie Miscellaneous Motion 6 (To Compel Testimony of Tim Russell)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (May 23, 2006),23 pgs.	
✓		"Guthrie Miscellaneous Motion 6 (Request for Rehearing)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (March 13, 2007), 11 pgs.	
RJ		"Guthrie Opposition 6 (Opposing Espiau Motion 6 to Request Rehearing)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (April 3, 2007), 12 pgs.	

EXAMINER

David

vu

DATE CONSIDERED

1/6/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known	
		<b>Application Number</b>	10/771,788
		<b>Filing Date</b>	February 4, 2004
		<b>First Named Inventor</b>	Espiau, Frederick
		<b>Group Art Unit</b>	2821
		<b>Examiner Name</b>	Vu, David
Sheet 3 of 6		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
Q		"Guthrie Priority Motion 7", <u>Guthrie, et al. v. Espiau, et al.</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 15, 2007), 81 pgs.	
↑		"Guthrie Second Declaration of Charles Guthrie", <u>Guthrie Exhibit 2180</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 14, 2007), 12 pgs.	
		"Guthrie Second Declaration of David E. Smoler", <u>Guthrie Exhibit 2150</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (May 21, 2007), 8 pgs.	
		"Guthrie Third Declaration of Donald Wilson", <u>Guthrie Exhibit 2181</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 13, 2007), 22 pgs.	
		"Guthrie Third Declaration of Greg Prior", <u>Guthrie Exhibit 2185</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 15, 2007), 11 pgs.	
		"Guthrie Third Declaration of Nicola Pisano", <u>Guthrie Exhibit 2189</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (May 23, 2007), 8 pgs.	
		"Manufacturing Order Documentation Form", <u>Guthrie Exhibit 2139</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (August 8, 2000), 3 pgs.	
		"Manufacturing Quote from Coorstek", <u>Guthrie Exhibit 2138</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (July 27, 2000), 2 pgs.	
		"Petition to Correct Inventorship in U.S. Patent Application Ser. No. 09/818,092, filed March 26, 2001", <u>Guthrie Exhibit 2166</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (December 22, 2004), 21 pgs.	
		"Photograph of Lighted Half-Wavelength", <u>Guthrie Exhibit 2178</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (2002), 2 pgs.	
		"Photographs of CoorsTek Full Wavelength Ceramic Waveguide", <u>Guthrie Exhibit 2162</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , 1 pg.	
		"Smoler Voicemail Transcript", <u>Espiau Exhibit 1109</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (November 21, 2005), 1 pg.	
		"Transcript of Deposition of Tim Russell", <u>Exhibit 2198</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 6, 2007), 79 pgs.	
		"Wilson Handwritten Notes", <u>Espiau Exhibit 1107</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (November 7, 2005), 2 pgs.	
✓		"Wilson Handwritten Notes", <u>Espiau Exhibit 1108</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (November 15, 2005), 11 pgs.	
D		"Wilson Technical Consulting Agreement", <u>Espiau Exhibit 1105</u> , <u>Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (October 17, 2005), 2 pgs.	

EXAMINER David DATE CONSIDERED 1/6/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known	
		<b>Application Number</b>	10/771,788
		<b>Filing Date</b>	February 4, 2004
		<b>First Named Inventor</b>	Espiau, Frederick
		<b>Group Art Unit</b>	2821
		<b>Examiner Name</b>	Vu, David
Sheet 4 of 6		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
✓		BENNETT, J., "E-Mail to C. Guthrie Re: Kyocera Quote for Waveguide Samples", <u>Guthrie Exhibit 2176</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (July 10, 2000), 1 pg.	
↑		BRANT, J., "E-Mail to Tony McGettigan", <u>Espiau Exhibit 1104</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (October 20, 2005), 1 pg.	
		GUTHRIE, C., "Collection of Waveguide Drawings", <u>Guthrie Exhibit 2169</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (2000), 7 pgs.	
		GUTHRIE, C., "D.R.I. Drawing - Ceramic Substrate (SMPL004)", <u>Guthrie Exhibit 2171</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (June 19, 2000), 1 pg.	
		GUTHRIE, C., "D.R.I. Drawing - Dielectric Test Sample", <u>Guthrie Exhibit 2154</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (April 26, 2000), 1 pg.	
		GUTHRIE, C., "D.R.I. Drawing - High Bright Picture Element (WGC006)", <u>Guthrie Exhibit 2170</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (June 14, 2000), 1 pg.	
		GUTHRIE, C., "D.R.I. Drawing - Wave Guide Lamp Concept 3d (WGC003)", <u>Guthrie Exhibit 2175</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (July 3, 2000), 1 pg.	
		GUTHRIE, C., "D.R.I. Drawings - R. F. Connector Details (Versions 1 and 2)", <u>Guthrie Exhibit 2174</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (2000), 2 pgs.	
		GUTHRIE, C., "D.R.I. Drawings - Wave Guide Lamp Concept 5 (WGC005); Wave Guide Lamp Concept 6 (WGC 006); and Wave Guide Concept 7 (WGC 007)", <u>Guthrie Exhibit 2172</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (June 27, 2000), 3 pgs.	
		GUTHRIE, C., "D.R.I. Drawings - Wave Guide Lamp Concept 8 (WGC008); Wave Guide Lamp Concept 9 (WGC009); and Wave Guide Lamp Concept 10 (WGC010)", <u>Guthrie Exhibit 2173</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (June 28, 2000), 3 pgs.	
		GUTHRIE, C., "E-Mail to E. Sandberg, et al. re: Meeting at ITW", <u>Guthrie Exhibit 2179</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (March 23, 2000), 2 pgs.	
		JOSHI, C., "E-Mail to C. Guthrie", <u>Guthrie Exhibit 2177</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (July 13, 2000), 1 pg.	
✓ ✓		RUSSELL, T., "E-Mail to N. Pisano (Guthrie's Counsel) Re: DRI Patent Application", <u>Guthrie Exhibit 2188</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (May 19, 2007), 2 pgs.	

EXAMINER

David Vu

DATE CONSIDERED

1/6/08

Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
*(Use as many sheets as necessary)*

Complete if Known

<b>Application Number</b>	10/771,788
<b>Filing Date</b>	February 4, 2004
<b>First Named Inventor</b>	Espiau, Frederick
<b>Group Art Unit</b>	2821
<b>Examiner Name</b>	Vu, David

Sheet 5 of 6

Attorney Docket No: 2447.001US2

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
Q		SMOLER, D., "E-Mail to C. Guthrie re: Ridged Waveguide Ideas", <u>Guthrie Exhibit 2149, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 27, 2000), 2 pgs.	
↑		TURNER, D., "E-Mail to C. Guthrie re: Dielectric Constants from Trans Tech", <u>Guthrie Exhibit 2168, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (May 7, 2000), 1 pg.	
		TURNER, D., "E-Mail to D. Wilson and C. Guthrie (attaching Lamp Plasma Schedule)", <u>Guthrie Exhibit 2197, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 28, 2000), 3 pgs.	
		TURNER, D., "E-Mail to D. Wilson and C. Guthrie re: Wavelength Project List (attaching Updated Contact List)", <u>Guthrie Exhibit 2159, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (July 6, 2000), 3 pgs.	
		TURNER, D., et al., "E-Mail to D. Wilson, et al. Re: DRI Plasma Lamp - Updated Address List", <u>Guthrie Exhibit 2152, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (April 24, 2000), 3 pgs.	
		TURNER, D., "E-Mail to G. Prior Re: DRI Tasks", <u>Guthrie Exhibit 2165, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (April 10, 2000), 2 pgs.	
		TURNER, D., "E-Mail to G. Prior, et al. Re: Action Item List", <u>Guthrie Exhibit 2157, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 28, 2000), 2 pgs.	
		TURNER, D., "E-Mail to G. Prior, et al. Re: DRI Plasma Lamp Schedule Update", <u>Guthrie Exhibit 2156, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (April 28, 2000), 4 pgs.	
		TURNER, D., "E-Mail to G. Prior, et al. Re: Proposal to Develop Plasma Lamp Exciter and Waveguide", <u>Guthrie Exhibit 2151, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (April 16, 2000), 8 pgs.	
		TURNER, D., "E-Mail to G. Prior, et al. Re: DRI Plasma Lamp - Laser Bonding", <u>Guthrie Exhibit 2153, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (April 26, 2000), 2 pgs.	
		TURNER, D., et al., "E-Mail to J. Chan, et al. Re: Waveguide Meeting", <u>Guthrie Exhibit 2195, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 2, 2000), 1 pg.	
		TURNER, D., "E-Mail to W. Catlett", <u>Guthrie Exhibit 2160, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (July 17, 2000), 2 pgs.	
✓		TURNER, D., et al., "E-Mail to W. Catlett Re: Lamp Project Budget", <u>Guthrie Exhibit 2194, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 1, 2000), 4 pgs.	
Q		TURNER, D., "E-Mail to W. Catlett Re: Office/Lab and Deposit Account for Lamp Project", <u>Guthrie Exhibit 2193, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (May 31, 2000), 2 pgs.	

EXAMINER

David Vu

DATE CONSIDERED

1/11/08

Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<b>Application Number</b>	10/771,788
		<b>Filing Date</b>	February 4, 2004
		<b>First Named Inventor</b>	Espiau, Frederick
		<b>Group Art Unit</b>	2821
		<b>Examiner Name</b>	Vu, David
Sheet 6 of 6		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
D		TURNER, D., "E-Mail to W. Catlett re: Luxim Waveguide Status Update", <u>Guthrie Exhibit 2158, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (July 6, 2000), 3 pgs.	
V		TURNER, D., "E-Mail to W. Catlett re: Waveguide Lamp Status Report", <u>Guthrie Exhibit 2161, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (August 1, 2000), 2 pgs.	
D		TURNER, D., "E-Mail to W. Catlett, et al. Re: Luxim Waveguide Status and Meeting", <u>Guthrie Exhibit 2196, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (June 26, 2000), 2 pgs.	

EXAMINER

David, D. Vu

DATE CONSIDERED

1/6/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/771,788
10/26/07		Filing Date	February 4, 2004
		First Named Inventor	Espiau, Frederick
		Group Art Unit	2821
		Examiner Name	Vu, David
Sheet 1 of 1		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
Q		"E-mail from Morgan to Pisano", Exhibit 2260, Guthrie v. Espiau, Patent Interference 105,393, (Oct. 8, 2007), 1 pg.	
↑		"Espiau Opposition 8 (to Guthrie's Miscellaneous Motion 8 to exclude evidence)", Guthrie v. Espiau, Patent Interference 105,393, (Oct. 24, 2007), 71 pgs.	
		"Guthrie Opposition 8 (opposing Espiau miscellaneous motion 8)", Guthrie v. Espiau, Patent Interference 105,393, (Oct. 24, 2007), 63 pgs.	
		"Guthrie's objections to Espiau's exhibits served with its motion 8", Exhibit 2254, Guthrie v. Espiau, Patent Interference 105,393, (Oct. 18, 2007), 6 pgs.	
		"Katie Marie Nunes Death Certificate", Exhibit 2258, Guthrie v. Espiau, Patent Interference 105,393, (July 10, 2000), 1 pg.	
		"Kearney to Pisano Letter", Re: Guthrie v. Espiau, (Oct. 19, 2007), 12 pgs.	
		"Modesto Bee Obituaries including Katie Marie Nunes", Exhibit 2257, Guthrie v. Espiau, Patent Interference 105,393, (July 10, 2000), 3 pgs.	
↓		"Nilssen et al v. Osram Sylvania et al", Exhibit 2259, Guthrie v. Espiau, Patent Interference 105,393, United States Court of Appeals for the Federal Circuit, (Oct. 10, 2007), 20 pgs.	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

P10/GB98A1 (04/01)  
Approved for use through 10/31/2002. GSA GEN-01-02021  
US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known Application Number   10/771,788 Filing Date   February 4, 2004 First Named Inventor   Espiau, Frederick Group Art Unit   2821 Examiner Name   Vu, David Hung	
10/22/07		Attorney Docket No: 2447.001US2	
Sheet 1 of 1			

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

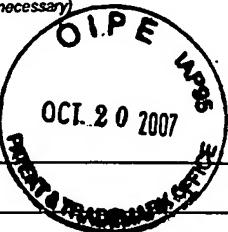
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
2		"Second Declaration of James E. King, Esq.", <u>Guthrie Exhibit 2256</u> Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 19, 2007), 5 pgs	
b		"Guthrie Fourth Declaration of Charles Guthrie", <u>Guthrie Exhibit 2255</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (Oct. 19, 2007), 4 pg.	

---

EXAMINER	David Vu	DATE CONSIDERED	1/3/08
----------	----------	-----------------	--------

---

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 600. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Complete if Known	
		<b>Application Number</b>	10/771,788
		<b>Filing Date</b>	February 4, 2004
		<b>First Named Inventor</b>	Espiau, Frederick
		<b>Group Art Unit</b>	2821
		<b>Examiner Name</b>	Vu, David Hung
<b>Attorney Docket No:</b> 2447.001US2			
Sheet 1 of 4			

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
RJ	WO-9828780 (Espiau Exhibit 1258 in Interference No. 105,393)	07/02/1998	Knox, Richard M., et al.	

### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
RJ		"60/337057 Application Filing Material", (Nov. 6, 2001), 8 pgs.	
		"Application Number 09/818092 - Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address", <u>Espiau Exhibit 1256</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (Aug. 3, 2005), 3 pgs.	
		"Combination Diagram", <u>Espiau Exhibit 1270</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (Jul. 8, 2000), 1 pg.	
		"Decision - Interlocutory Motions - Bd.R. 125(b)", <u>Guthrie v. Espiau</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 20, 2007), 3 pgs.	
		"Decision - Interlocutory Motions - Bd.R. 125(b)", <u>Guthrie v. Espiau</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 16, 2007), 3 pgs.	
		"Deposition of Benjamin Glenn", <u>Espiau Exhibit 1260</u> , <u>Guthrie v. Espiau</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 18, 2007), 66 pgs.	
		"Deposition of Ellen Thotus", <u>Espiau Exhibit 1259</u> , <u>Guthrie v. Espiau</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 17, 2007), 42 pgs.	
		"Deposition of Penny Ortega", <u>Espiau Exhibit 1261</u> , <u>Guthrie v. Espiau</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 18, 2007), 24 pgs.	
		"Errata to the Transcript of the September 17, 2007 Deposition of Ellen Thotus", <u>Espiau Exhibit 1264</u> , <u>Guthrie v. Espiau</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 26, 2007), 3 pgs.	
		"Errata to the Transcript of the September 18, 2007 Deposition of Benjamin Glenn", <u>Espiau Exhibit 1263</u> , <u>Guthrie v. Espiau</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 27, 2007), 3 pgs.	
RJ		"Espiau List of Exhibits", <u>Guthrie v. Espiau</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 11, 2007), 15 pgs.	

EXAMINER

David M

DATE CONSIDERED

1/3/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/771,788
		Filing Date	February 4, 2004
		First Named Inventor	Espiau, Frederick
		Group Art Unit	2821
		Examiner Name	Vu, David Hung
Sheet 2 of 4		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
D		"Espiau Miscellaneous Motion 8 (to Exclude Exhibits 2240 and 2242, and to Strike Exhibit 2244 and Corresponding Portions of Guthrie Reply 7 and Guthrie Substitute Reply 7)", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Oct. 11, 2007),32 pgs.	
↑		"Espiau Reply 7", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 28, 2007),129 pgs.	
↑		"Espiau Second Declaration of F. Matthew Espiau", <u>Espiau Exhibit 1257, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (Sep. 17, 2007),5 pgs.	
↑		"Espiau Second Declaration of Matthew Argenti", <u>Espiau Exhibit 1271, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (Oct. 10, 2007),5 pgs.	
↑		"Espiau Third Declaration of Brian P. Turner", <u>Espiau Exhibit 1262, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (Sep. 25, 2007),4 pgs.	
↑		"Espiau's Objections to Guthrie's Exhibits Served with its Reply 7", <u>Espiau Exhibit 1273, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Oct. 5, 2007),12 pgs.	
↑		"Faxing 2 page Application to Lease 646 University Ave.", <u>Espiau Exhibit 1255, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , To Vito Modugno From George Thotus,(Jul. 21, 2000),1 pg.	
↑		"Guthrie Declaration of James E. King, Esq.", <u>Guthrie Exhibit 2244, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 27, 2007),13 pgs.	
↑		"Guthrie Declaration of Ray Paquette", <u>Guthrie Exhibit 2241, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 30, 2007),22 pgs.	
↑		"Guthrie Motion 8 (To Exclude Evidence)", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Oct. 10, 2007),49 pgs.	
↑		"Guthrie Reply 7", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 28, 2007),176 pgs.	
↑		"Guthrie Second Declaration of Joe Bennett", <u>Guthrie Exhibit 2240, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 24, 2007),5 pgs.	
✓		"Guthrie Sixth Declaration of Madhu S. Gupta PhD", <u>Guthrie Exhibit 2243, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 27, 2007),24 pgs.	
D		"Guthrie Third Declaration of Charles Guthrie", <u>Guthrie Exhibit 2242, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 26, 2007),8 pgs.	

EXAMINER

David vu

DATE CONSIDERED

1/3/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<small>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.</small>	
		<small>Complete if Known</small>	
		<b>Application Number</b>	10/771,788
		<b>Filing Date</b>	February 4, 2004
		<b>First Named Inventor</b>	Espiau, Frederick
		<b>Group Art Unit</b>	2821
		<b>Examiner Name</b>	Vu, David Hung
Sheet 3 of 4		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
D		"Guthrie's Objections to Espiau's Evidence", <u>Guthrie Exhibit 2250, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Aug. 14, 2007), 3 pgs.	
↑		"Guthrie's Objections to Espiau's Exhibits 1255 and 1256", <u>Guthrie Exhibit 2252, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 24, 2007), 2 pgs.	
		"Guthrie's objections to Espiau's exhibits served with its opposition 7", <u>Guthrie Exhibit 2251, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 10, 2007), 10 pgs.	
		"Guthrie's Objections to Espiau's Exhibits Served with its Reply 7", <u>Guthrie Exhibit 2253, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Oct. 1, 2007), 4 pgs.	
		"Guthrie's Objections to Espiau's Motion 7 Evidence", <u>Guthrie Exhibit 2249, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Aug. 7, 2007), 35 pgs.	
		"Guthrie's Objections to Espiau's Opposition 6 Evidence", <u>Guthrie Exhibit 2248, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Jun. 5, 2007), 13 pgs	
		"King Declaration E-Mail", <u>Espiau Exhibit 1272, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , From Robert Morgan to Nicola Pisano, (Oct. 1, 2007), 1 pg.	
		"Photograph of basement of 644 University Avenue, Los Gatos, California", <u>Espiau Exhibit 1267, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (No date listed), 1 pg.	
		"Photograph of basement of 644 University Avenue, Los Gatos, California", <u>Espiau Exhibit 1268, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (No date listed), 1 pg.	
		"Photograph of basement of 644 University Avenue, Los Gatos, California", <u>Espiau Exhibit 1269, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (No date listed), 1 pg.	
		"Picture of Facade of Building #644", <u>Espiau Exhibit 1265, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (No date listed), 1 pg.	
		"Sketch of basement of 644 University Avenue, Los Gatos, California", <u>Espiau Exhibit 1266, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (No date listed), 1 pg.	
↓		"Sound Deposition Services, Inc. Transcript", <u>Guthrie Exhibit 2247, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , The transcript was derived from the video located at <a href="http://www.redherring.tv/luxim.htm">http://www.redherring.tv/luxim.htm</a> , (Sep. 11, 2007), 11 pgs.	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE      STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<i>Complete if Known</i> <b>Application Number</b> 10/771,788 <b>Filing Date</b> February 4, 2004 <b>First Named Inventor</b> Espiau, Frederick <b>Group Art Unit</b> 2821 <b>Examiner Name</b> Vu, David Hung	
Sheet 4 of 4		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
D		"Teleconference Transcript for Call before Judge James Moore", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Oct. 4, 2007),22 pgs.	
2		"Teleconference Transcript for Call before Judge Sally C. Medley", <u>Guthrie Exhibit 2245, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Aug. 1, 2007),30 pgs.	
2		"Teleconference Transcript for Call before Judge Sally C. Medley", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Mar. 8, 2007),27 pgs.	
2		"Teleconference Transcript for Call before Judge Sally C. Medley", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Oct. 15, 2007),26 pgs.	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08



PTO/SB/08A (10-01)  
Approved for use through 10/31/2002, OMB 651-0031  
US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 1 of 13

Attorney Docket No: 2447.001US2

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
D	US-2007/0075652A1, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2203	04/05/2007	Espiau, F. M., et al.	10/04/2006
Q	US-6,664,842, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2211	12/16/2003	Pobanz, C. W.	12/28/2001
D	US-6,980,021, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2212	12/27/2005	Srivastava, N. K., et al.	06/18/2004
D	US-7,253,091, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2210	08/07/2007	Brewer, P. D.	09/26/2002

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute Disclosure Statement Form (PTO-1449)

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 2 of 13

Attorney Docket No: 2447.001US2

## OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
2		"A Ceramic Wave-Guide Lamp - From a Concept to Reality", <u>Espiau Exhibit 1208</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (August 4, 2000), 12 pgs.	
↑		"An Integrated Lamp - Waveguide Concept", <u>Espiau Exhibit 1032</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (July 11, 2000), 13 pgs.	
		"Application Serial No. 09/809,718 (Atty Ref PLASLAMP-01 / 2447.001US1), Amendments Under 37 C.F.R. Sec. 1.312 mailed 12-15-2003", 9 pgs.	
		"Application Serial No. 10/356,340 (Atty Ref PLASLAMP-02 / 2447.002US1), Non-Final Office Action mailed 6-10-04", 6 pgs.	
		"Application Serial No. 10/356,340 (Atty Ref PLASLAMP-02 / 2447.002US1), Notice of Allowance mailed 10-21-2004", 4 pgs.	
		"Application Serial No. 10/356,340 (Atty Ref PLASLAMP-02 / 2447.002US1), Notice of Allowance mailed 2-23-05", 4 pgs.	
		"Application Serial No. 10/356,340 (Atty Ref PLASLAMP-02 / 2447.002US1), Reply filed 10-7-2004 to Office Action mailed 6-10-2004", 95 pgs.	
		"Application Serial No. 10/947,800 (Atty. Ref. 2447.003US1) Non Final Office Action mailed 07-12-2007", 16 pgs	
		"Application Serial No. 11/010,093 (Atty. Ref. 2447.002US2) Non Final Office Action mailed 04-04-2007", 22 pgs	
		"Application Serial No. 11/010,093 (Atty. Ref. 2447.002US2) Non Final Office Action mailed 06-14-2006", 25 pgs	
		"Application Serial No. 11/010,093 (Atty. Ref. 2447.002US2) Response filed 12-13-2006 to Non Final Office Action mailed 06-14-2006", 13 pgs	
		"Application Serial No. 11/083,552 (Atty. Ref. 2447.001US5) Non Final Office Action mailed 08-10-2007", OARN,34 pgs	
		"Application Serial No. 11/083,552 (Atty. Ref. 2447.001US5) Preliminary Amendment filed 07-15-2005", 4 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Non Final Office Action mailed 08-30-2006", 18 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Notice of Allowance mailed 05-03-2007", 19 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Preliminary Amendment filed 07-15-2005", 4 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Response filed 01-19-2007 to Non Final Office Action mailed 08-30-2006", 13 pgs	
↓		"Application Serial No. 11/083,558 (Atty. Ref. 2447.001US7) Preliminary Amendment filed 04-26-2007", 5 pgs	
9		"Application Serial No. 11/083,558 (Atty. Ref. 2447.001US7) Preliminary Amendment filed 07-15-2005", 4 pgs	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<small>Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.</small>	
		<small>Complete if Known</small>	
		<b>Application Number</b>	10/771,788
		<b>Filing Date</b>	February 4, 2004
		<b>First Named Inventor</b>	Espiau, Frederick
		<b>Group Art Unit</b>	2821
		<b>Examiner Name</b>	David Hung Vu
Sheet 3 of 13		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
YL		"Application Serial No. 11/083,559 (Atty. Ref. 2447.001US8) Preliminary Amendment filed 07-15-2005", 4 pgs	
YL		"Application Serial No. 11/084,069 (Atty. Ref. 2447.001US4), Non-Final Office Action mailed 8-9-2007", 35 pgs.	
YL		"Application Serial No. 11/084,177 (Atty. Ref. 2447.001US3) Non Final Office Action mailed 08-09-2007", OARN,34 pgs	
YL		"Application Serial No. 11/084,177 (Atty. Ref. 2447.001US3) Preliminary Amendment filed 07-15-2005", 4 pgs	
YL		"Application Serial No. 11/618,673 (Atty. Ref. 2447.002US4) Preliminary Amendment filed 12-29-2006", 8 pgs	
YL		"Channel Microwave Quote No. 100102 re: Various Items", <u>Espiau Exhibit 1162</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (May 18, 200),2 pgs.	
YL		"Complaint for Damages and Injunctive and Other Relief", <u>Guthrie Exhibit 2229</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (Oct. 23, 2001),38 pgs.	
YL		"Conference Call", <u>Guthrie Exhibit 2230</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Feb. 9, 2006),25 pgs.	
YL		"Conference Call", <u>Guthrie Exhibit 2225</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Mar. 8, 2007),24 pgs.	
YL		"Coorstek Quotation", <u>Espiau Exhibit 1197</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (August 2, 2000),3 pgs.	
YL		"Cross-Examination of Carl W., Pobanz", <u>Espiau Exhibit 1236</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), Merrill Legal Solutions,(Aug. 19, 2007),68 pgs.	
YL		"Cross-Examination of David Pozar", <u>Espiau Exhibit 1063</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (June 19, 2006),196 pgs.	
YL		"Cross-Examination of Matt Espiau (Videotaped )", <u>Espiau Ehhibit 1239</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), Merrill Legal Solutions,(Aug. 17, 2007),225 pgs	
YL		"Declaration of Carl W. Pobanz", <u>Espiau Exhibit 1030</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (April 27, 2006),7 pgs.	
YL		"Declaration of Chandrashekhar J. Joshi", <u>Espiau Exhibit 1051</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (May 22, 2006),3 pgs.	
YL		"Declaration of David Turner", <u>Espiau Exhibit 1034</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (April 27, 2006),5 pgs.	
YL		"Declaration of Ellen Thotus", <u>Espiau Exhibit 1242</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007),3 pgs.	
YL		"Declaration of Frederick Matthew Espiau", <u>Guthrie Exhibit 2227</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (June 9, 2000),68 pgs.	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(Use as many sheets as necessary)</small>		Complete if Known	
		Application Number	10/771,788
		Filing Date	February 4, 2004
		First Named Inventor	Espiau, Frederick
		Group Art Unit	2821
		Examiner Name	David Hung Vu
Sheet 4 of 13		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
2		"Declaration of Frederick Matthew Espiau", <u>Espiau Exhibit 1168</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (July 29, 2007),17 pgs.	
↑		"Declaration of Penny Ortega", <u>Espiau Exhibit 1244</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007),3 pgs.	
		"Declaration of Regis C. Worley, Jr.", <u>Guthrie Exhibit 2234</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 20, 2007),3 pgs.	
		"Deposition (video transcript) of Chandrashekhar Joshi, M.D.", <u>Espiau Exhibit 1234</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), Merrill Legal Solutions,(Aug. 13, 2007),152 pgs.	
		"Deposition of Anthony Cooper", <u>Guthrie Exhibit 2218</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 9, 2007),27 pgs.	
		"Deposition of Chandrashekhar J. Joshi", <u>Espiau Exhibit 1068</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (July 7, 2006),139 pgs.	
		"Deposition of Charles Guthrie", <u>Guthrie Exhibit 2222</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 14, 2007),23 pgs.	
		"Deposition of David B. Turner", <u>Espiau Exhibit 1237</u> Board of Patent Appeals and Interferences (Interference No. 105,393), Merrill Legal Services,(Aug. 20, 2007),201 pgs.	
		"Deposition of David Smoler", <u>Guthrie Exhibit 2223</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 15, 2007),19 pgs.	
		"Deposition of Dennis R. Nunes", <u>Guthrie Exhibit 2214</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 6, 2007),24 pgs.	
		"Deposition of Donald M. Wilson", <u>Guthrie Exhibit 2215</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 6, 2007),77 pgs.	
		"Deposition of Floyd Pothoven", <u>Guthrie Exhibit 2219</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 9, 2007),23 pgs.	
		"Deposition of Gregory Prior", <u>Guthrie Exhibit 2216</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 7, 2007),43 pgs.	
		"Deposition of Joseph Bennett", <u>Guthrie Exhibit 2221</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 12, 2007),17 pgs.	
		"Deposition of Madhu S. Gupta, Ph.D.", <u>Guthrie Exhibit 2220</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 10, 2007),38 pgs.	
		"Deposition of Shelby Katz", <u>Guthrie Exhibit 2224</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 16, 2007),15 pgs.	
		"Deposition of Vivek Gandhi", <u>Guthrie Exhibit 2217</u> , Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 8, 2007),14 pgs.	
↓		"Diagram", <u>Guthrie Exhibit 2205</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (No date listed),1 pg.	
↓		"Diagram", <u>Guthrie Exhibit 2200</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (No date listed),1 pg.	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE      STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/771,788
		Filing Date	February 4, 2004
		First Named Inventor	Espiau, Frederick
		Group Art Unit	2821
		Examiner Name	David Hung Vu
Sheet 5 of 13		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cité No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
<u>a</u>		"Dielectric Resonator Concept for Plasma Lamps", <u>Espiau Exhibit 1138</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (April 20, 2000), 5 pgs.	
<u>h</u>		"DRI - Tenco Team Plasma Lamp Business Model", <u>Espiau Exhibit 1145</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (prior to July 30, 2007), 1 pg.	
		"DRI Schematic", <u>Espiau Exhibit 1132</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (July 30, 2007), 2 pgs.	
		"E-mail to D. Turner et al", <u>Espiau Exhibit 1228</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (May 14, 2002), 1 pg.	
		"E-mail to Espiau re: Lamp Project", <u>Espiau Exhibit 1226</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (Apr. 23, 2002), 1 pg.	
		"E-mail to Espiau re: Meeting", <u>Espiau Exhibit 1227</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (Apr. 26, 2002), 1 pg.	
		"E-mail to Nicola A Pisano", <u>Guthrie Exhibit 2232</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (May 23, 2007), 1 pg.	
		"E-mail to Nicola A Pisano", <u>Guthrie Exhibit 2233</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (Aug. 21, 2007), 1 pg.	
		"E-mail to Wayne Callett et al, re Lamp Schedule", <u>Espiau Exhibit 1224</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (7/21/00), 1 pg.	
		"Errata to the transcript of the August 13, 2007 deposition of Chandrashekhar J. Joshi", <u>Espiau Exhibit 1235</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (August 28, 2007), 5 pgs.	
		"Errata to the transcript of the August 14, 2007 deposition of Charles Guthrie", <u>Guthrie Exhibit 2238</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (Aug. 30, 2007), 3 pgs.	
		"Errata to the transcript of the August 15, 2007 deposition of David Smoler", <u>Guthrie Exhibit 2237</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (Aug. 29, 2007), 3 pgs.	
		"Errata to the transcript of the August 17, 2007 Deposition of Frederick "Matt" Espiau", <u>Espiau Exhibit 1240</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (August 28, 2007), 4 pgs.	
		"Errata to the transcript of the August 20, 2007 Deposition of David B. Turner", <u>Espiau Exhibit 1238</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (August 27, 2007), 3 pgs.	
		"Errata to the transcript of the August 6, 2007 deposition of Donald M. Wilson", <u>Guthrie Exhibit 2236</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (Aug. 29, 2007), 3 pgs.	
<u>d</u>		"Errata to the transcript of the August 9, 2007 Deposition of Anthony Cooper", <u>Guthrie Exhibit 2239</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (Aug. 30, 2007), 3 pgs.	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/771,788
		Filing Date	February 4, 2004
		First Named Inventor	Espiau, Frederick
		Group Art Unit	2821
		Examiner Name	David Hung Vu
Sheet 6 of 13		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
12		"Errata to the transcript of the August 9, 2007 of Floyd Pothoven", <u>Guthrie Exhibit 2235, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Aug. 29, 2007), 3 pgs.	
↑		"Espiau Motion 7 for Priority", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (July 30, 2007), 94 pgs.	
		"Espiau notice of deposition for cross examination and intent to video record the deposition of Dennis Nunes", <u>Espiau Exhibit 1223, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (Aug. 6, 2007), 3 pgs.	
		"Espiau Opposition 7 (to Guthrie Priority Motion 7)", <u>Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Aug. 31, 2007), 121 pgs.	
		"Espiau Third Declaration of David B. Turner", <u>Espiau Exhibit 1233, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (August 21, 2007), 10 pgs.	
		"Experiment 1: Cavity Resonator, School of Engineering and Applied Science", <u>Espiau Exhibit 1026, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (Winter, 1995), 5 pgs.	
		"Experiment 3: Cavity Resonator, School of Engineering and Applied Science", <u>Espiau Exhibit 1025, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (October 24, 1990), 15 pgs.	
		"Fifth Declaration of David M. Pozar", <u>Espiau Exhibit 1183, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (July 30, 2007), 17 pgs.	
		"Financial Ledger", <u>Espiau Exhibit 1241, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (1999-2001), 3 pgs.	
		"FWH of Provisional Application Serial No. 60/222,028 for Plasma Lamp", <u>Espiau Exhibit 1012, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , 28 pgs.	
		"Graph With Handwritten Notes", <u>Espiau Exhibit 1155, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (prior to July 30, 2007), 3 pgs.	
		"Guthrie Exhibit List", <u>Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Aug. 31, 2007), 13 pgs.	
		"Guthrie Opposition 7", <u>Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (August 31, 2007), 122 pgs.	
		"Handwritten Provisional Draft for An Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1031, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (July 30, 2007), 13 pgs.	
↓		"Handwritten Notes re: Discussion with Wayne, Ingmar, David and Chan", <u>Espiau Exhibit 1207, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (prior to July 30, 2007), 1 pg.	
12		" <a href="http://www.laseraware.com/history.html">http://www.laseraware.com/history.html</a> ", <u>Guthrie Exhibit 2206, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (Apr. 11, 2006), 1 pg.	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/771,788
		Filing Date	February 4, 2004
		First Named Inventor	Espiau, Frederick
		Group Art Unit	2821
		Examiner Name	David Hung Vu
Sheet 7 of 13		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T'
D		"In Re Digital Reflections, Inc. - Chapter 7 Bankruptcy", Espiau Exhibit 1243, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 28, 2002), 57 pgs.	
1		"Invoice relating to DRI Plasma Lamp Project", Exhibit 1219, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 6, 2000), 3 pgs.	
		"IPLDWC Design 1 Drawings", Espiau Exhibit 1180, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 14, 2000), 2 pgs.	
		"Luxim Corporation Project Summit", Guthrie Exhibit 2201, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 17, 2007), 20 pgs.	
		"MasterCard Statement - TravelersBank", Espiau Exhibit 1027, Board of Patent Appeals and Interferences (Interference No. 105,393), (April, 2000), 1 pg.	
		"May 21, 2007 Hearing", Guthrie Exhibit 2231, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 21, 2007), 33 pgs.	
		"Miscellaneous Handwritten Notes", Espiau Exhibit 1213, Board of Patent Appeals and Interferences (Interference No. 105,393), (prior to July 30, 2007), 1 pg.	
		"Miscellaneous Handwritten Notes", Espiau Exhibit 1189, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 28, 2000), 2 pgs.	
		"Miscellaneous Handwritten Notes", Espiau Exhibit 1153, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 3, 2000), 4 pgs.	
		"Miscellaneous Handwritten Notes", Espiau Exhibit 1137, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 20, 2000), 3 pgs.	
		"Miscellaneous Handwritten Notes", Espiau Exhibit 1169, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 12, 2000), 1 pg.	
		"Miscellaneous Handwritten Notes", Espiau Exhibit 1190, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 28, 2000), 2 pgs.	
		"Notebook Pages from Matt Espiau", Espiau Exhibit 1127, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 27, 2000), 13 pgs.	
		"Notebook Pages from Yian Chang", Espiau Exhibit 1126, Board of Patent Appeals and Interferences (Interference No. 105,393), (August, 2000), 57 pgs.	
		"Notes", Espiau Exhibit 1229, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 14, 2002), 2 pgs.	
		"Notes from Meeting with Joshi, Turner, Espiau regarding Review of Samples", Espiau Exhibit 1209, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 8, 2000), 2 pgs.	
D		"Notes re: Alumina Puck and Testing of Puck", Espiau Exhibit 1125, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 8, 2000), 143 pgs.	
D		"Notes Regarding Lighting of Lamp", Espiau Exhibit 1210, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 12, 2000), 1 pg.	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 8 of 13

Attorney Docket No: 2447.001US2

## OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T'
DJ		"Patent Disclosure Summary", Espiau Exhibit 1225, Board of Patent Appeals and Interferences(Interference No. 105,393), (4/22/00), 4 pgs.	
↑		"Photo", Guthrie Exhibit 2297, Board of Patent Appeals and Interferences(Interference No. 105,393), (No date listed), 1 pg.	
		"Photo", Guthrie Exhibit 2208, Board of Patent Appeals and Interferences(Interference No. 105,393), (No date listed), 1 pg.	
		"Photo", Guthrie Exhibit 2213, Board of Patent Appeals and Interferences(Interference No. 105,393), (Aug. 20, 2007), 1 pg.	
		"Photograph", Espiau Exhibit 1222, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 1 pg.	
		"Photograph", Espiau Exhibit 1221, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 1 pg.	
		"Schematic", Espiau Exhibit 1173, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 1 pg.	
		"Schematic re: Plasma Lamp Concept", Espiau Exhibit 1150, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 1 pg.	
		"Schematics re: Retangular Waveguide", Espiau Exhibit 1166, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 6, 2000), 2 pgs.	
		"Second Declaration of Carl W. Pobanz", Espiau Exhibit 1054, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 20, 2006), 3 pgs.	
		"Second Declaration of Chandrashekhar J. Joshi", Espiau Exhibit 1160, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 29, 2007), 15 pgs.	
		"Second Declaration of David B. Turner", Espiau Exhibit 1158, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 24, 2007), 19 pgs.	
		"Sixth Declaration of David M. Pozar", Espiau Exhibit 1254, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 31, 2007), 15 pgs.	
		"Sound Deposition Services, Inc., Guthrie vs. Espiau: Exhibit 1211", Guthrie Exhibit 2226, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 23, 2007), 14 pgs.	
		"The Center for High Frequency Electronics", Guthrie Exhibit 2228, Board of Patent Appeals and Interferences (Interference No. 105,393), <a href="http://www.chfe.ee.ucla.edu/8/26/2007/">http://www.chfe.ee.ucla.edu/8/26/2007/</a> (2007), 1 pg.	
↓		"Trip Report - Chan, Don, Matt", Espiau Exhibit 1220, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 30, 2007), 1 pg.	
DJ		"Turner Engineering Purchase Order T62801 / Trade References / T. Weaver's Quote", Espiau Exhibit 1175, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 3 pgs.	

EXAMINER

David Vu

DATE CONSIDERED

13/08

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(Use as many sheets as necessary)</small>		<i>Complete if Known</i>	
		<b>Application Number</b>	10/771,788
		<b>Filing Date</b>	February 4, 2004
		<b>First Named Inventor</b>	Espiau, Frederick
		<b>Group Art Unit</b>	2821
		<b>Examiner Name</b>	David Hung Vu
Sheet 9 of 13		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
Q		"United States Securities and Exchange Commission Form D", <u>Guthrie Exhibit 2202, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (May 16, 2007), 6 pgs.	
↑		BROUGHER, S., "E-Mail to D. Turner re: Lamp Project Schedule", <u>Espiau Exhibit 1146, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (April 17, 2000), 1 pg.	
		CATLETT, W., "E-Mail to D. Turner re: DRI Luxim Waveguide Project", <u>Espiau Exhibit 1186, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (July 22, 2000), 2 pgs.	
		CHANG, Y., "E-Mail to D. Turner enclosing June Invoice", <u>Espiau Exhibit 1177, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (July 5, 2000), 2 pgs.	
		CHANG, Y., "E-Mail to D. Turner re: Time and Expenses for July", <u>Espiau Exhibit 1204, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (August 1, 2000), 14 pgs.	
		CHANG, Y., "E-Mail to Espiau re: Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1192, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (July 24, 2000), 1 pg.	
		CHANG, Y., "E-Mail to Espiau re: Lamp Assy. Figures", <u>Espiau Exhibit 1201, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (July 30, 2000), 3 pgs.	
		CHANG, Y., "E-Mail to J. Chandrashekhar, et al. re: Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1200, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (July 30, 2000), 1 pg.	
		CHANG, Y., "E-Mail to M. Espiau re: Drawings", <u>Espiau Exhibit 1181, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (July 16, 2000), 1 pg.	
		CHANG, Y., "E-Mail to M. Espiau re: Integrated Lamp Waveguide Concept w/ Latest Revisions With Drawings", <u>Espiau Exhibit 1198, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (July 27, 2000), 1 pg.	
		CHANG, Y., "E-Mail to M. Espiau re: New Figures / Patent Drawings", <u>Espiau Exhibit 1194, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (July 25, 2000), 1 pg.	
		CHANG, Y., "E-Mail to M. Espiau re: Figures / Patent Drawings", <u>Espiau Exhibit 1193, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (July 25, 2000), 1 pg.	
✓		CHANG, Y., "E-Mail to M. Espiau, et al. re: Integrated Lamp Waveguide Concept w/ Latest Revisions With Drawings", <u>Espiau Exhibit 1196, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (July 26, 2000), 1 pg.	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<i>Complete if Known</i> <b>Application Number</b> 10/771,788 <b>Filing Date</b> February 4, 2004 <b>First Named Inventor</b> Espiau, Frederick <b>Group Art Unit</b> 2821 <b>Examiner Name</b> David Hung Vu
Sheet 10 of 13		Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
D		CHANG, Y., "Notes re: The Integrated Dielectric Waveguide Plasma Lamp Concept", <u>Espiau Exhibit 1152, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (2000),32 pgs.
↑		ESPIAU, M., "E-Mail to D. Turner re: Three Phase Service", <u>Espiau Exhibit 1176, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (June 29, 2000),2 pgs.
		ESPIAU, M., "E-Mail to Y. Chang re: Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1199, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (July 28, 2000),1 pg.
		ESPIAU, M., "E-Mail to Y. Chang, et al. re: Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1195, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (July 26, 2000),1 pg.
		ESPIAU, M., "E-Mail to Y. Chang, et al. re: Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1191, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (July 24, 2000),1 pg.
		ESPIAU, M., "E-Mail to Y. Chang, et al. re: Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1182, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (July 16, 2000),1 pg.
		ESPIAU, M., "E-Mail to Y. Chang, et al. re: Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1179, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (July 13, 2000),1 pg.
		GUTHRIE, C., "E-Mail to D. Turner re: Amplifier for Development", <u>Espiau Exhibit 1144, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (May 6, 2000),1 pg.
		GUTHRIE, C., "E-Mail to D. Turner re: Dielectric Constants from Trans Tech", <u>Espiau Exhibit 1154, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (May 6, 2000),1 pg.
		GUTHRIE, C., "E-Mail to D. Turner re: Visit / Potential Meeting", <u>Espiau Exhibit 1156, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (May 6, 2000),1 pg.
		ISHII, T. K., "Microwave Engineering (Second Edition)", <u>Guthrie Exhibit 2204, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (1989),3 pgs.
↓		ISHII, T. K., "Microwave Engineering (Second Edition)", <u>Espiau Exhibit 1232, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (1989),3 pgs.
D		JOSHI, C., "E-Mail to M. Espiau re: ILWC Patent Paper", <u>Espiau Exhibit 1178, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (July 13, 2000),2 pgs.

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<small>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.</small>	
		<small>Complete if Known</small>	
		<b>Application Number</b>	10/771,788
		<b>Filing Date</b>	February 4, 2004
		<b>First Named Inventor</b>	Espiau, Frederick
		<b>Group Art Unit</b>	2821
		<b>Examiner Name</b>	David Hung Vu
Sheet 11 of 13		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
✓		MULLEN, D., "E-Mail to D. Turner re: DRI Meeting", <u>Espiau Exhibit 1139</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (April 20, 2000), 1 pg.	
↑		MULLEN, D., "E-Mail to Joshi, et al. re: First Pass Thermal Model of Lamp Housing (attaching plasma lamp geometry)", <u>Espiau Exhibit 1151</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (May 1, 2000), 2 pgs.	
		POZAR, DAVID M., "Microwave Engineering, Third Edition", <u>Espiau Exhibit 1250</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), From Chapter 2,(2005), 10 pgs.	
		POZAR, DAVID M., "Microwave Engineering, Third Edition", <u>Espiau Exhibit 1251</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), Chapter 5 - Impedance Matching and Tuning,(2005),46 pgs.	
		POZAR, DAVID M., "Microwave Engineering, Third Edition", <u>Espiau Exhibit 1252</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), Chapter 6, Microwave Resonators,(2005),14 pgs.	
		RIZZI, PETER A., "Microwave Engineering Passive Circuits", <u>Espiau Exhibit 1231</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (1988),4 pgs.	
		TURNER, D., "E-Mail to D. Mullen confirming DRI Meeting", <u>Espiau Exhibit 1136</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (April 18, 2000), 1 pg.	
		TURNER, D., "E-Mail to C. Joshi re: Property Info", <u>Espiau Exhibit 1143</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (April 25, 2000), 2 pgs.	
		TURNER, D., "E-Mail to C. Joshi, et al. re: DRI - Resume, etc.", <u>Espiau Exhibit 1142</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (April 24, 2000), 3 pgs.	
		TURNER, D., "E-Mail to C. Joshi, et al. re: Material Specification", <u>Espiau Exhibit 1167</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (June 9, 2000), 3 pgs.	
		TURNER, D., "E-Mail to C. Joshi, et al. re: DRI / Luxim Agreements", <u>Espiau Exhibit 1170</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (June 20, 2000), 14 pgs.	
✓		TURNER, D., "E-Mail to C. Joshi, et al. re: First Dielectric Donut Tests", <u>Espiau Exhibit 1165</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (June 8, 2000), 1 pg.	
✓		TURNER, D., "E-Mail to D. Mullen re: Dielectric Constants from Trans Tech", <u>Espiau Exhibit 1157</u> , <u>Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (May 6, 2000), 1 pg.	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.	
		<i>Complete if Known</i>	
		Application Number	10/771,788
		Filing Date	February 4, 2004
		First Named Inventor	Espiau, Frederick
		Group Art Unit	2821
		Examiner Name	David Hung Vu
Sheet 12 of 13		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
D		TURNER, D. , "E-Mail to S. Brougher re: DRI Plasma Lamp Schedule Update", <u>Espiau Exhibit 1147</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (April 28, 2000),1 pg.	T
↑		TURNER, D. , "E-Mail to S. Brougher re: Lamp Schedule", <u>Espiau Exhibit 1184</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (July 19, 2000),5 pgs.	T
↑		TURNER, D. , "E-Mail to S. Brougher, et al. re: DRI Luxim Waveguide Schedule", <u>Espiau Exhibit 1206</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (August 2, 2000),5 pgs.	T
↑		TURNER, D. , "E-Mail to W. Catlett re: DRI - Luxim Business Structure", <u>Espiau Exhibit 1214</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (August 29, 2000),2 pgs.	T
↑		TURNER, D. , "E-Mail to W. Catlett re: DRI Luxim License Business Structure", <u>Espiau Exhibit 1217</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (September 13, 2000),2 pgs.	T
↑		TURNER, D. , "E-Mail to W. Catlett re: DRI Plasma Lamp Business Meeting", <u>Espiau Exhibit 1149</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (April 28, 2000),6 pgs.	T
↑		TURNER, D. , "E-Mail to W. Catlett re: DRI-Tenco Product Development - Next Steps", <u>Espiau Exhibit 1161</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (May 12, 2000),7 pgs.	T
↓		TURNER, D. , "E-Mail to W. Catlett re: Plasma Lamp Project", <u>Espiau Exhibit 1163</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (May 22, 2000),1 pg.	T
↓		TURNER, D. , "E-Mail to W. Catlett re: DRI - Luxim License Business Structure", <u>Espiau Exhibit 1215</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (September 11, 2000),2 pgs.	T
↓		TURNER, D. , "E-Mail to W. Catlett re: DRI - Luxim License Framework", <u>Espiau Exhibit 1216</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (September 11, 2000),2 pgs.	T
↓		TURNER, D. , "Memorandum to G. Prior re: Tenco Proposal to Develop a Plasma Lamp Excitor and Waveguide", <u>Espiau Exhibit 1134</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (April 14, 2000),7 pgs.	T
↓		TURNER, D. , "Memorandum to G. Prior re: Tenco Engineering Service for Digital Reflection", <u>Espiau Exhibit 1130</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (March 31, 2000),10 pgs.	T
↓		TURNER, D. , "Memorandum to J. Legge re: Production Engineering for DRI Components", <u>Espiau Exhibit 1133</u> , Board of Patent Appeals and Interferences(Interference No. 105,393), (March 31, 2000),5 pgs.	T

EXAMINER

David Vu

DATE CONSIDERED

1/3/08

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(Use as many sheets as necessary)</small>		Complete if Known	
		Application Number	10/771,788
		Filing Date	February 4, 2004
		First Named Inventor	Espiau, Frederick
		Group Art Unit	2821
		Examiner Name	David Hung Vu
Sheet 13 of 13		Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
D		TURNER, D., "Memorandum to T. Alton re: Issuing Purchase Order to Kyocera", <u>Espiau Exhibit 1172, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (June 22, 2000),5 pgs.	
A		TURNER, D., "Memorandum to W. Catlett re: Business Structures for Joint DRI - Luxim Development", <u>Espiau Exhibit 1212, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (August 10, 2000),3 pgs.	
		TURNER, D., "Memorandum to W. Catlett re: Non-Disclosure Agreement and Interim Product Development Agreement", <u>Espiau Exhibit 1174, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (June 23, 2000),12 pgs.	
		TURNER, D., "Memorandum to W. Catlett re: Termination of Development Activities relating to Projection Light Engine Waveguide Development", <u>Espiau Exhibit 1218, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (November 3, 2000),1 pg.	
		TURNER, D., "Notes", <u>Espiau Exhibit 1131, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (April 11, 2000),3 pgs.	
		TURNER, "Notes", <u>Espiau Exhibit 1128, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (March 6, 2000),1 pg.	
		TURNER, "Notes", <u>Espiau Exhibit 1129, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (March 8, 2000),1 pg.	
		TURNER, "Notes", <u>Espiau Exhibit 1135, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (April 17, 2000),3 pgs.	
		TURNER, "Notes", <u>Espiau Exhibit 1141, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (April 24, 2000),2 pgs.	
		TURNER, "Notes", <u>Espiau Exhibit 1148, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (May 1, 2000),1 pg.	
		TURNER, "Notes from the June 21, 2000 Meeting", <u>Espiau Exhibit 1171, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (June 21, 2000),6 pgs.	
✓		TURNER, "Notes re: DRI Plasma Light from the the May 9, 2000 Meeting", <u>Espiau Exhibit 1159, Board of Patent Appeals and Interferences</u> (Interference No. 105,393), (May 9, 2000),3 pgs.	

EXAMINER

David Vu

DATE CONSIDERED

1/3/08